

A large, stylized logo for GEOS is positioned in the background. The letter 'G' is red with a white outline, while 'E', 'O', and 'S' are white with blue outlines. The logo is surrounded by abstract geometric shapes like circles and rectangles, and a red curved line sweeps across the bottom right.

# GEOS

GEOS Securities Account  
Management

- Comprehensive master data maintenance for
  - Customer and custodian securities accounts
  - Counterparties
  - Portfolios and investment guidelines
  - Standing instructions for corporate events
- Standing settlement instructions
  - as data basis for fully automatic settlement
- Real-time position keeping for securities and derivatives
- Securities account information (client reporting)
- Fully automatic event processing
  - for payments, corporate actions and Annual General Meetings
  - automatic tracking and processing of transactions fed into system after maturity/payment date
- Holdings maintenance transactions (online and batch)

- Customer securities accounts (identified, anonymous)
  - Custodian securities accounts
  - Suspense securities accounts
  - Shadow and model securities accounts
  - Counterparty agreements
  - Settlement agreements
  - Portfolios and investment guidelines
  - Master Data maintenance either via dialog or interface
- Clearing accounts
  - Mailing addresses
  - Additional agreements for
    - Advisor liability
    - Annual General Meetings
    - Taxes etc.
  - Authorisation to draw
  - Special conditions
  - Communication
  - Assignment to product and fee group

- Settlement agreements as basis for STP stored in counterparty master data
  - Account / securities account client institution
  - Account / securities account counterparty
  - Delivery vs payment
  - Free delivery / free payment
  - Securities account client institution / free payment
  - Securities account counterparty / free payment
  - Free delivery / account client institution
  - Free delivery / account counterparty

- Current position keeping for securities and derivatives
- Reference date views of
  - Trade date holdings
  - Value date holdings
  - Delivered holdings
- List of available holdings (available balance)
- Different classifications with subtotals and securities account totals
- Acquisition values in trading, reporting and balance-sheet currency
- Valuation with current prices incl. P&L
- Securities lending and borrowed positions of repo and lending transactions
- Integrated custodian reconciliation

- Online queries:
  - Securities account (personal)
  - Securities account (instrument)
  - Custodian securities account
  - Securities account transactions
  - Cash transactions
  - Link to order, execution, instrument, etc.
- Reporting:
  - Securities account statements (customers/custodians)
  - Portfolio statements
  - Earnings statements
  - Interest accruals and deferrals
  - Statement of transactions
  - Securities account income preview
  - Tax statement

Batch processing  
via reconciliation log

Individual processing  
via dialog

- Income
- Redemption
- Annual General Meeting
- Fund merger
- Direct reinvestment
- Euro conversion
- Voluntary corporate action
- Obligatory corporate action
- Conversion / exercise
- Expiration
- ...